

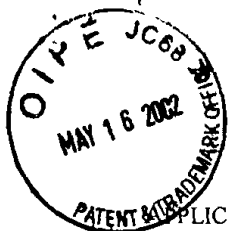
05-17-02

Express Mail Label No.: EL 955220836 US

Date of Deposit: May 16, 2002

Receipt

Attorney Docket No. 15966-638 CIP
(Cura-138 CIP)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS : Gerlach et al.

SERIAL NUMBER : 09/898,586

EXAMINER : Li, Ruixian

FILING DATE : July 3, 2001

ART UNIT : 1646

FOR : Novel Polypeptides and Nucleic Acids Encoding Same

Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

TECH CENTER 1600/2900

MAY 29 2002

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TRANSMITTAL LETTER

In reply to the Response to Request for Corrected Filing Receipt, dated April 17, 2002, transmitted herewith for filing in the above-referenced patent application are the following documents:

- ☒ Copy of Response to Request for Corrected Filing Receipt (2 pages);
- ☒ Second Request for Corrected Filing Receipt (2 pages);
- ☒ Copy of Filing Receipt marked with corrections (2 pages); and
- ☒ Return postcard.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at 617-542-6000, Boston, Massachusetts. Applicants believe that no fee is due. However, the Commissioner is authorized to charge any fees that may be due to Deposit Account No. 50-0311, Ref. No. 15966-638CIP (Cura-138CIP). A duplicate copy of this transmittal letter is enclosed herewith.

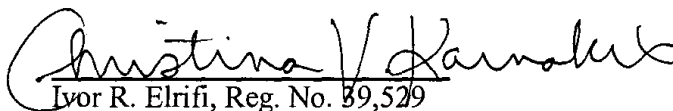


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PATENT TRADEMARK OFFICE

May 16, 2002

Respectfully submitted,



Ivor R. Elrifi, Reg. No. 39,529

Christina V. Karnakis, Reg. No. 45,899

Attorneys for Applicants

c/o MINTZ, LEVIN

One Financial Center

Boston, Massachusetts 02111

Tel: (617) 542-6000

Fax: (617) 542-2241



UNITED STATES PATENT AND TRADEMARK OFFICE

COPY

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/898,586	07/03/2001	Valerie L. Gerlach	15966-0586-2 (Data-1386)IP

CONFIRMATION NO. 2872



OC000000007883622

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MAY 29 2002

MINTZ LEVIN, BOSTON
PATENT DOCKET DEPT.

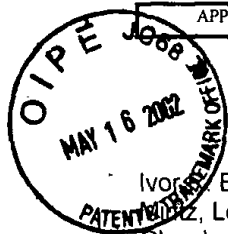
TECH CENTER 1600/2900

Date Mailed: 04/17/2002

RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT**Claims, Fees, Inventors and Continuity**

In response to your request for a corrected Filing Receipt, the Office is unable to comply with your request because:

- ☐ The total number of claims appearing on the Filing Receipt does not include multiple dependent claims. The total fee appearing on the Filing Receipt includes the cost of multiple dependent claims that were present at the time the application was filed.
- ☐ The filing fee is correct. It includes the surcharge under 37 CFR 1.16(e) for filing an oath/declaration or basic filing fee after the application filing date.
- ☐ The inventor information may be truncated if the family name consists of more than 50 characters (letters and spaces combined) and if the given name consists of more than 50 characters (letters and spaces combined). The inventor's residence allows for up to 40 characters (letters and spaces combined).
- ☐ The docket number allows a maximum of 25 characters.
- ☒ Continuity claimed under 35 USC 120 cannot be added to the Filing Receipt without supplying the relationship (i.e. continuation, divisional...).
- ☐ Foreign priority will appear on the Filing Receipt in the following order:
Country, Application number, Filing date.
- ☐ The person signing on behalf of the deceased inventor will be reflected on your Filing Receipt as the legal representative.
- ☐ Only one character per space is allowed. Therefore, punctuation which is usually placed above or below a letter, such as an umlaut (..), cannot be included on the Filing Receipt.



Ivor Elrifi
Mintz, Levin, Cohn, Ferris,
Glovsky and Popeo, P.C.
One Financial Center
Boston, MA 02111

<input checked="" type="checkbox"/> Data Entry <input checked="" type="checkbox"/> Docket Entry <input type="checkbox"/> Docket Cross Off <input type="checkbox"/> Previously Entered <input type="checkbox"/> No Docketing Req <input type="checkbox"/> ELITE <input type="checkbox"/> Annuities	Done By 5/13
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Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

A copy of this notice MUST be returned with the reply.

Ruth BERNE
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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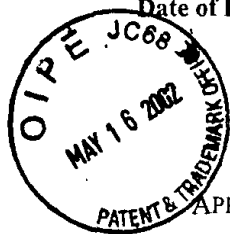
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Express Mail Label No.: EL 955220836 US

Date of Deposit: May 16, 2002

Attorney Docket No. 15966-638 CIP
(Cura-138 CIP)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS : Gerlach et al.
SERIAL NUMBER : 09/898,586 EXAMINER : Li, Ruixiang
FILING DATE : July 3, 2001 ART UNIT : 1646
FOR : Novel Polypeptides and Nucleic Acids Encoding Same

Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

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SECOND REQUEST FOR CORRECTED FILING RECEIPT

1. In response to the Response to Request for Corrected Filing Receipt mailed April 17, 2002, Applicants submit a Second Request a Corrected Filing Receipt for the above-mentioned patent application. Applicants enclose a copy of the Filing Receipt with the corrections noted thereon. Issuance of a corrected filing receipt is respectfully requested.
2. There are errors with respect to the following data, which were incorrectly entered and/or omitted.

Error in

Correct data

1. ☒ Applicants' address
2. ☒ Continuing Data

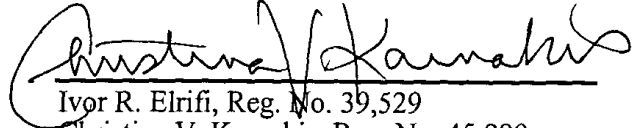
Glenda Smithson, Guilford, CT
Add:
And is a continuation-in-part of 09/761,288 filed
01/16/2001

3. Applicants believe that no fee is due. However, the Commissioner is authorized to charge any fees that may be due, or to credit any overpayment, to Deposit Account No. 50-0311, Ref. No. 15966-638CIP (Cura-138CIP).

Applicants: Gerlach *et al.*
U.S.S.N. 09/898,586

4. Other documents: Return Postcard.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Christina V. Karnakis", is written over a horizontal line.

Ivor R. Elrifi, Reg. No. 39,529
Christina V. Karnakis, Reg. No. 45,899

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May 16, 2002

TRA 1656304v1

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
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09/898,586

07/03/2001

1645

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Ivor R. Elrifi
Mintz, Levin, Cohn, Ferris,
Glovsky and Popeo, P.C.
One Financial Center
Boston, MA 02111



CONFIRMATION NO. 2872

FILING RECEIPT



OC00000007311067

Date Mailed: 01/15/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Valerie L. Gerlach, Branford, CT;
John R. MacDougall, Hamden, CT;
Glennnda Smithson, ~~Branford, CT~~, Guilford, CT

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/177,839 01/25/2000
AND CLAIMS BENEFIT OF 60/176,134 01/14/2000
AND CLAIMS BENEFIT OF 60/175,989 01/13/2000
AND CLAIMS BENEFIT OF 60/218,324 07/14/2000
AND CLAIMS BENEFIT OF 60/220,253 07/24/2000
AND CLAIMS BENEFIT OF 60/178,191 01/26/2000
AND CLAIMS BENEFIT OF 60/178,227 01/26/2000
AND CLAIMS BENEFIT OF 60/220,590 07/25/2000
AND CLAIMS BENEFIT OF 60/215,855 07/03/2000

AND IS A continuation-in-part of 09/761,288

Foreign Applications filed 01/16/2001

If Required, Foreign Filing License Granted 08/03/2001

Projected Publication Date: 04/25/2002

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

Title

Novel polypeptides and nucleic acids encoding same

Preliminary Class

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**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).